

C83763\$

PTO/SB/21 (6-99 Approved for use through 09/30/2000. OMB 0651-0031

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. 09/079.703 **Application Number** May 15, 1998 **TRANSMITTAL** Filing Date Maynard **FORM** First Named Inventor (to be used for all correspondence after initial filing) Group/Art Unit **Examiner Name** Total Number of Pages in This Submission Attorney Docket Number ENCLOSURES (check all that apply) After Allowance Communication Assignment Papers Fee Transmittal Form (for an Application) to Group Appeal Communication to Board Drawing(s) Fee Attached of Appeals and Interferences Appeal Communication to Group Amendment / Response Licensing-related Papers (Appeal Notice, Brief, Reply Brief) After Final Petition Routing Slip (PTO/SB/69) Proprietary Information and Accompanying Petition Affidavits/declaration(s) Petition to Convert to a Status Letter Provisional Application Extension of Time Request Additional Enclosure(s) Power of Attorney, Revocation 3 Months Change of Correspondence Address (please identify below): Express Abandonment Request Terminal Disclaimer Information Disclosure Statement Small Entity Statement Certified Copy of Priority Request for Refund Document(s) Remarks Response to Missing Parts/ Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY OR AGENT Firm U.P. Peter Eng, Reg. No. 39,666, WILSON SONSINI GOODRICH & ROSATI Individual name Signature Date CERTIFICATE OF MAILING I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: Typed or printed name Jeffery R. Howard Signature

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PTO/SB/17 (6/99)

Approved for use through 09/30/2000. OMB 0651-0032
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE collection of information unless it displays a valid OMB control number.

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Under the Paperwork Reduction Act of 1995, no professional required to FEE TRANSMITTAL Application Filing Date of Filing Date

Patent fees are subject to annual revision.

Small Entity payments <u>must</u> be supported by a small entity statement, otherwise large entity fees must be paid. See Forms PTO/SB/09-12.

See 37 C.F.R. §§ 1.27 and 1.28.

TOTAL AMOUNT OF PAYMENT

(\$) 435.00

| Complete if Known | | | | | |
|------------------------|--------------|--|--|--|--|
| Application Number | 09/079,703 | | | | |
| Filing Date | May 15, 1998 | | | | |
| First Named Inventor | Maynard | | | | |
| Examiner Name | E. Kline | | | | |
| Group/Art Unit | 3763 | | | | |
| Attorney Docket Number | 16436-709 | | | | |

| METHOD OF PAYMENT (check one) | | | | FEE CALCULATION (continued) | | | | | | |
|---|-------------|----------------------|-----------------------|--|-----------------------|----------------------------|---|---|--|--------|
| The Commissioner is hereby authorized to charge indicated fees and credit any over payments to: | | | | 3. AI | _ | | | | | |
| Deposit Account Number 23-24.15 (Docket No. 16436-709) | | Large Fee Code | Entity Fee (\$) | Small Fee Code | Entity Fee (\$) | Group 3700 | Fee Paid | | | |
| Deposit | | | | <u> </u> | 105 | 130 | 205 | 65 | Surcharge - late filing fee or oath | |
| Account Wilson Sonsini Goodrich & Rosati | | | 127 | 50 | 227 | 25 | Surcharge - late provisional filing fee or cover sheet | | | |
| Name | | | | 139 | 130 | 139 | 130 | Non-English specification | | |
| Charge Any Additional Fee Required Under 37 CFR §§ 1.16 and 1.17 | | | 147 | 2,520 | 147 | 2,520 | For filing a request for reexamination | • | | |
| | | | 112 | 920 | 112 | 920 | Requesting publication of SIR prior to Examiner action | | | |
| 2. 🗵 Payment Enclosed: | | | 113 | 1,840 | 113 | 1,840 | Requesting publication of SIR after Examiner action | | | |
| ☐ Check ☐ Money Order ☐ Other | | | | 115 | 110 | 215 | 55 | Extension for replay within first month | | |
| | | FEE (| CALCUL | ATION | 116 | 380 | 216 | 190 | Extension for reply within second month | |
| 1. BASI | C FILIN | G FEE | | | 117 | 870 | 217 | 435 | Extension for reply within third month | 435.00 |
| Large I | Entity | Small | Entity | | 118 | 1,360 | 218 | 680 | Extension for reply within fourth month | |
| Fee Code | Fee (\$) | Fee Code | Fee (\$) | Fee Description Fee Paid | 128 | 1,850 | 228 | 925 | Extension for reply within fifth month | |
| | 760 | 201 | 380 | Utility filing fee | 119 | 300 | 219 | 150 | Notice of Appeal | |
| 106 3 | 310 | 206 | 155 | Design filing fee | 120 | 300 | 220 | 150 | Filing a brief in support of an appeal | |
| 107 4 | 480 | 207 | 240 | Plant filing fee | 121 | 260 | 221 | 130 | Request for oral hearing | |
| 108 7 | 760 | 208 | 380 | Reissue filing fee |] 138 | 1,510 | 138 | 1,510 | Petition to institute a public use proceeding | |
| 114 1 | 150 | 214 | 75 | Provisional filing fee | 140 | 110 | 240 | 55 | Petition to revive - unavoidable | |
| SUBTOTAL (1) (\$) | | |] 141 | 1,210 | 241 | 605 | Petition to revive - unintentional | | | |
| 2. EXTR. | A CLAI | M FEES | Extra Clai | Fee from ims below Fee Paid | 142 | 1,210 | 242 | 605 | Utility issue fee (or reissue) | |
| Total Claims | | -20** = | | x = | 143 | 430 | 243 | 215 | Design issue fee | |
| Independent Claims | | -3** = | | x = | 144 | 580 | 244 | 290 | Plant issue fee | |
| Multiple De | ependent | | | | 122 | 130 | 122 | 130 | Petitions to the Commissioner | |
| **or numb | er previoi | usly paid, | if greater; | For Reissues, see below | 123 | 50 | 123 [^] | 50 | Petitions related to provisional applications | |
| Large | Entity | Small | Entity | | 126 | 240 | 126 | 240 | Submission of Information Disclosure Stmt | |
| Fee Code | Fee (\$) | Fee Code | Fee (\$) | Fee Description | 120 | 240 | 120 | 240 | Submission of information Discressive Strike | |
| 103 | 18 | 203 | 9 | Claims in excess of 20 | 581 | 40 | 581 | 40 | Recording each patent assignment per property (times number of properties) | |
| 102 | 78 | 202 | 39 | Independent claims in excess of 3 | 146 | 760 | 246 | 380 | Filing a submission after final rejection (37 CFR 1.129(a)) | |
| 104 | 260 | 204 | 130 | Multiple dependent claim, if not paid | 149 | 760 | 249 | 380 | For each additional invention to be examined (37 CFR 1.129(b)) | |
| 109 | 78 | 209 | 39 | **Reissue independent claims over original patent | Other fee | Other fee (specify) | | 25 | Request for Corrected Filing Receipt | |
| 110 | 18 | 210 | 9 | **Reissue claims in excess of 20 and over original patent | Other fee | Other fee (specify) 55/110 | | 55/110 | Terminal Disclaimer | |
| | | | | * Reduce | ed by Basic | c Filing Fe | e Paid | SUBTOTAL (3) (| \$) 435.00 | |
| | | | | | | | | | | |

| SUBMITTED BY | | | | | Complete (if applicable) | |
|-------------------|----------------|-----------------------------------|--------|-----------|--------------------------|--|
| Name (Print/Type) | U.P. Peter Eng | Registration No. (Attorney/Agent) | 39,666 | Telephone | 650-493-9300 | |
| Signature | Umla Patos | | | Date | 12/8/99 | |